



IPW

Docket No.: 070456-0085

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Customer Number: 20277  
Takashi HARADA, et al. : Confirmation Number: 8323  
Application No.: 10/536,879 : Group Art Unit: 1742  
Filed: May 27, 2005 : Examiner: KASTLER, Scott R.  
For: THERMOELECTRIC MATERIAL AND METHOD OF MANUFACTURING THEREOF

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

CERTIFICATION PARAGRAPH

The undersigned certifies that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement as described in 37 CFR 1.97(e)(1).

The references were cited in a corresponding Chinese Office Action and their relevance is discussed therein. A copy of the Chinese Office Action, together with an English language version thereof, is attached for the Examiner's information. Please note that the reference **JP 2002-076452**, which corresponds to **US 2002/0026856 A1**, was previously submitted in an Information Disclosure Statement on May 27, 2005.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

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**Date: September 11, 2007**

**Please recognize our Customer No. 20277  
as our correspondence address.**



SHEET 1 OF 1

| INFORMATION DISCLOSURE<br>CITATION IN AN<br>APPLICATION<br><br>(PTO-1449) |          |   | ATTY. DOCKET NO.<br><b>070456-0085</b>     | SERIAL NO.<br><b>10/536,879</b>  |
|---|----------|---|--|--|
|   |          |   | APPLICANT<br><b>Takashi HARADA, et al.</b> |  |
|   |          |   | FILING DATE<br><b>May 27, 2005</b>         | GROUP<br><b>1742</b>   |
| <b>U.S. PATENT DOCUMENTS</b>  |          |   |  |  |
| EXAMINER'S INITIALS   | CITE NO. | Document Number<br>Number-Kind Code <sub>2</sub> ( <i>if known</i> )  | Publication Date<br>MM-DD-YYYY             | Name of Patentee or Applicant of Cited Document<br>Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear         |
|   | US       | <b>2002/0026856 A1</b>  | <b>03-07-2002</b>                          | <b>SUZUKI et al.</b><br><b>Corresponds to JP 2002-076452</b>   |
|   | US       | <b>6,313,392</b>  | <b>11-06-2001</b>                          | <b>SATO et al.</b><br><b>Corresponds to CN 1252625 A</b>   |
|   | US       |   |  |  |
| <b>FOREIGN PATENT DOCUMENTS</b>   |          |   |  |  |
| EXAMINER'S INITIALS   | CITE NO. | Foreign Patent Document<br>Country Codes-Number & Kind Codes ( <i>if known</i> )  | Publication Date<br>MM-DD-YYYY             | Name of Patentee or Applicant of Cited Document<br>Pages, Columns, Lines Where Relevant Figures Appear<br>Translation<br>Yes      No |
|   |          | <b>CN 1252625 A</b>   | <b>05-10-2000</b>                          | <b>KOMATSU MFG CO LTD</b><br><b>CHINA (w/ Corresponding US 6,313,392)</b>  |
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|   |          |   |  |  |
| <b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>   |          |   |  |  |
| EXAMINER'S INITIALS   | CITE NO. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |  |  |
|   |          | <b>Chinese Office Action, issued in corresponding Chinese Patent Application No. 200380104378.6, dated on July 13, 2007</b>   |  |  |
|   |          |   |  |  |
|   |          |   |  |  |
|   |          |   |  |  |
| EXAMINER  |          |   | DATE CONSIDERED                            |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.